

MAGELLAN
STATEMENT OF FINANCIAL POSITION
AS OF: September 30, 2013

ASSETS

CURRENT ASSETS

101 Cash (Disclose on Schedule A)	71,598,772
102 Current Investments	18,513,778
103 Accounts Receivable (net) (Disclose on Schedule A)	24,088,576
104 Notes Receivable (current portion)	-
105 Prepaid Expenses	197,217
106 Other Current Assets (Disclose on Schedule A)	1,988,930
107 Total Current Assets	<u>116,387,273</u>

NON-CURRENT ASSETS

108 Land	-
109 Building	-
110 Leasehold Improvements	333,558
111 Furniture and Equipment	5,271,129
112 Vehicles	-
113 Total Property and Equipment	<u>5,604,687</u>
114 Less: Accumulated Depreciation	<u>3,371,759</u>
115 Net Property and Equipment	<u>2,232,928</u>
116 Notes Receivable (net of current portion)	-
117 Performance Bond (Disclose on Schedule A)	-
118 Long Term Investments	-
119 Deposits	-
120 Other Noncurrent Assets (Disclose on Schedule A)	57,113
121 Total Noncurrent Assets	<u>2,290,041</u>
122 TOTAL ASSETS	<u><u>118,677,314</u></u>

LIABILITIES AND NET ASSETS/EQUITY

CURRENT LIABILITIES

201 Incurred But Not Reported Claims (Disclose on Sch. A)	21,146,656
202 Reported But Unpaid Claims	2,932,409
203 Payable to ADHS (Disclose on Schedule A)	569,317
204 Payable to Providers	4,717,597
205 Trade Accounts Payable	152,949
206 Accrued Salaries and Benefits	-
207 Long-term Debt (current portion)	-
208 Deferred Revenue (Disclose on Schedule A)	-
209 Risk Pool Payable	-
210 Other Current Liabilities (Disclose on Schedule A)	20,938,075
211 Total Current Liabilities	<u>50,457,003</u>

NON-CURRENT LIABILITIES

212 Long-term debt (net of current portion)	-
213 Loss Contingencies (Disclose on Schedule A)	-
214 Other Noncurrent Liabilities (Disclose on Schedule A)	1,086,687
215 Total Noncurrent Liabilities	<u>1,086,687</u>
216 TOTAL LIABILITIES	<u>51,543,690</u>
217 NET ASSETS/EQUITY	
Unrestricted Net Assets	67,133,624
Restricted Net Assets (Disclose on Schedule A)	-

218 TOTAL LIABILITIES AND NET ASSETS/EQUITY	<u><u>118,677,314</u></u>
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MAGELLAN
STATEMENT OF FINANCIAL POSITION
AS OF:
Schedule A Disclosures

September 30, 2013

ASSETS:

Cash

Unrestricted	71,598,772
Restricted	-

Total Cash **71,598,772**

Accounts Receivable
ADHS

Program ID	Category ID	
Current Period	SB1616 Housing	476,624
	NTXIX/XXI SMI	4,155,486
	CMHS SMI Block Grant	621,808
	CMHS SED Block Grant	644,990
	County	356,420
	SAPT Block Grant	2,962,903
	SAPT Block Grant	645,200
	SAPT Block Grant	250,000
	SAPT Block Grant	256,811
	SAPT Block Grant	306,750
	NTXIX/XXI Other	50,000
	PASRR/ADOH	1,200
	Supported Housing for TXIX SMI	182,939
	NTXIX/XXI Crisis	814,344
	PASRR/ADOH	31,425
	Admin & Mgmt Gen	2,487,570
	Financial Incentive - 2013	

Prior Year

Non-ADHS &/or Unrelated Business

Program ID	Category ID	
Current Period	Interest Receivable on Investments	318,030
	MMA Pharmacy Benefits	597,373
	Provider Advances	103,672
	Provider Recoupments - SFY12	1,791,333
	Provider Recoupments - SFY13	5,873,292
	Clinic Sublease Receivable	983
	Intercompany Receivable	880,685
	Provider Sanctions	32,309
	Refund for Overpayment	46,430
Prior Year	Self Insurance Reserve	200,000

Total Accounts Receivable **24,088,576**

Other Current Assets (Detail of Line 106)

Current Deferred Tax Asset	1,988,930
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Total Other Current Assets **1,988,930**

Other Noncurrent Assets (Detail of Line 120)

Clinic Security Deposits	57,113
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Total Other Noncurrent Assets **57,113**

LIABILITIES:

IBNR Claims Estimate

Current Period	20,424,267
Prior Year	722,389

Total IBNR **21,146,656**

Payable to ADHS (Detail of Line 203)

Program ID	Category ID	
Current Period	NTXIX/XXI Crisis	40,342
	NTXIX/XXI SMI	514,944
	Supported Housing for TXIX SMI	8,590
	SB1616 Housing	5,441
Prior Year		

Total Payable to ADHS **569,317**

Deferred Revenue from: (Detail of Line 208)

Program ID	Category ID	
ADHS		
Current Period		
Prior Year		
Non-ADHS &/or Unrelated Business		
Current Period		
Prior Year		

Total Deferred Revenue **-**

Other Current Liabilities (Detail of Line 210)

Consulting Expenses	20,000
Telephone Expenses	29,000
Self Insurance Retention	366,369
Unclaimed Property	75,987
Sublease Security Deposits - ST	57,113
Housing Accrual	611,792
PASRR Accrual	1,200
Housing Bridge Subsidy Funding	188,606
PNO Prepaid Rents	417
Food Boxes	11,400
Community Reinvestment - FY12	1,852,244
Community Reinvestment - FY11	912,982
Tax Benefit Payable - FY13	25,109,450
Tax Settlement - through Dec 2012	(8,298,485)

Total Other Current Liabilities **20,938,075**

PERFORMANCE BOND:			Loss Contingencies (Detail of Line 213)		
Type of Security & Amount -			Identify Loss Contingencies		-
Safeco Insurance Company of America	Bond	36,000,000	Total Loss Contingencies		-
Fidelity & Deposit Company of Maryland	Bond	17,164,250			
			Other Noncurrent Liabilities (Detail of Line 214)		
Adjustments:					
Disclose and describe any adjustments made to previously submitted financial statements, including those that affect the current period financial statements.			Noncurrent Deferred Tax Liability		1,086,687
			Total Other Noncurrent Liabilities		1,086,687
Payables to ADHS - Other* Category			Restricted Net Assets (Detail of Line 217)		
Disclose items recorded as "Other" in the category for Payable to ADHS Section			Identify Restricted Net Assets		-
			Total Restricted Net Assets		-

Explain ≥10% fluctuation in account from prior period	
Describe fluctuation in each account greater than 10%	
Cash	Cash decreased due to changes in the following accounts; Accounts Receivable (\$4.9M), Accounts Payable & Other Liabilities (\$14.8M), Purchase of Investments (\$7.6M) and Dividends Paid (\$6M). Cash increased due to Net Income (\$12M) and Maturity of Investments (\$9M).
Accounts Receivable	There was an increase for ADHS Receivables for the following; (\$.5M) SB1616 Housing, (\$4M) NT SMI, (\$.5M) CMHS SMI Block, (\$.8M) NT Crisis and (\$1.3M) Financial Incentive Accrual. There was also a decrease of (\$1.3M) TXIX SMI, (\$.7M) TXIX Child, (\$1.8M) SAPT Substance Abuse Tax. There was also an increase of (\$.9M) for Intercompany Receivables.
Prepaid Expenses	Decrease is due to amortization of the prepaid assets (\$89k).
Reported But Unpaid Claims	The RBUC's increased from June to September due to an increase of 4,872 in the number of RBUC claims.
Payable to ADHS	There was an decrease in the FY13 Profit/Loss payable for the following; (\$.5M) TXXI Child and (\$.8M) County. There was also a (\$.5M) increase for NT SMI.
Other Amounts Payable to Providers	There was a net (\$2.8M) decrease for Block Funding payments due to the following providers; (\$.3M) SW Behavioral, (\$1M) SWN, (\$.1M) Marc Center, (\$.2M) MCRN and (\$.2M) Bhvl Hlth ClA. There was also a reduction of (\$3.1M) for FY13 Redeployment of FY12 Recoupments. The Payable increased (\$1.7M) for Provider Incentives and (\$.9M) for TXIX Pass thru funding.
Trade AP	Trade AP increased in September due to timing of last day of month falling before the checks are cut and the liability is released.
Other Current Liabilities	Other Current Liabilities decreased (\$9.4M) for Tax Payable due to a tax settlement, (\$1.8M) Community Reinvestment and (\$.8M) for Self Insurance Reserve.

MAGELLAN

STATEMENT OF CHANGES IN NET ASSETS / EQUITY

AS OF : September 30, 2013

			Net Assets / Retained Earnings	Unrealized Gains (Losses) on Securities	Total
Initial Capital	Additional Capital				
Beginning Balance: July 1, 2012	-	29,000,100	29,607,507		58,607,607
* Net Surplus / Net Earning for the period ended: September 30, 2013	-	-	38,410,537		38,410,537
Other Comprehensive Income:					
Unrealized Gains (Losses) on Securities				5,480	5,480
Dividends Paid			(29,890,000)		(29,890,000)
** Prior Period Adjustments	-	-			-
Ending Balance: September 30, 2013			-		-
	-	29,000,100	38,128,044	5,480	67,133,624

* Net of Dividends Paid

** Disclosure of Prior Period Adjustments

<div>MAGELLAN</div> <div>STATEMENT OF ACTIVITIES</div> <div>CONTRACT PERIOD TO DATE AS OF:</div> <div>September 30, 2013</div> <div>*DISCLOSE ON SCHEDULE A</div>																								
		TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXIX CHILD	TXIX ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
REVENUES																								
401	Revenue Under ADHS Contract																							
a	ADHS Revenue	153,133,017	71,886,442	13,868,306	358,328,026	11,008,588	143,788,193	5,555,822	183,812	19,034,138	50,763,346	2,761,033	822,535	250,000	4,211,071	2,402,190	22,553,364	21,546	61,131,181	53,100	-	921,755,711	-	921,755,711
b	ADHS Revenue - Qualifying Incentive Payments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
402	Specialty & Other Grants*	-	-	-	3,000,000	-	-	-	-	-	(7,422)	-	-	57,000	-	-	-	-	(637)	471,372	-	3,520,314	8,327,580	8,327,580
403	Client Fees (Co-pays)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
404	Third Party Recoveries																							
a	Medicare	-	-	-	49	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	49	-	49
b	Other Insurance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
405	Interest Income	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	134	-	134	1,922,250	1,922,384
406	Other Behavioral Health Funding Sources - Non ADHS*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
407	Unrelated Business Revenue*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
408	TOTAL REVENUE	153,133,017	71,886,442	13,868,306	361,328,076	11,008,588	143,788,193	5,555,822	183,812	19,034,138	50,755,924	2,761,033	822,535	307,000	4,211,071	2,402,190	22,553,364	21,546	61,130,544	524,606	-	925,276,208	10,249,830	935,526,038
EXPENSES																								
Service Expenses:																								
501	Treatment Services																							
a	Counseling																							
1	Counseling, Individual	5,070,989	4,748,130	172,904	4,829,573	305,857	6,250,671	313,729	(9)	-	-	-	-	-	306,548	6,402	232,383	-	288,482	-	-	22,525,658	-	22,525,658
2	Counseling, Family	5,908,065	3,170,703	334,305	355,714	67,526	339,743	335,921	(2)	-	-	-	-	-	262,519	-	36,890	-	66,063	-	-	10,877,446	-	10,877,446
3	Counseling, Group	1,480,435	840,386	33,871	1,959,328	24,433	5,803,877	92,463	-	-	-	-	-	-	78,143	36,340	1,107,536	-	387,428	-	-	11,844,241	-	11,844,241
b	Assessment, Evaluation and Screening	10,590,882	4,945,262	576,471	5,741,963	457,876	9,005,739	440,402	(77)	201,466	2,808,908	-	-	-	410,867	386,066	600,205	-	6,140,245	-	-	42,306,274	-	42,306,274
c	Other Professional	910,619	211,113	17,847	5,396	-	34,054	118,130	-	-	-	-	-	-	19,769	259,828	54,413	-	357,330	-	-	1,988,499	-	1,988,499
d	Total Treatment Services	23,960,990	13,915,595	1,135,397	12,891,973	855,692	21,434,084	1,300,645	(88)	201,466	2,808,908	-	-	-	1,077,846	688,636	2,031,427	-	7,239,548	-	-	89,542,118	-	89,542,118
502	Rehabilitation Services																							
a	Living Skills Training	14,703,635	9,375,099	1,797,144	12,793,111	371,022	861,615	619,445	(213)	-	1,006,548	-	-	-	276,797	-	30,622	-	13,826	-	-	41,848,652	-	41,848,652
b	Cognitive Rehabilitation	-	-	-	135	-	-	-	-	-	120	-	-	-	-	-	-	-	0	-	-	255	-	255
c	Health Promotion	1,156,321	343,046	26,570	5,296,919	84,355	667,236	35,521	(6)	-	874,441	-	-	-	32,757	500	10,428	-	11,394	-	-	8,539,482	-	8,539,482
d	Supported Employment Services	64,537	128,453	1,333	13,481,056	353,857	1,746,267	10,562	(4)	-	1,969,622	-	-	-	-	50,226	-	-	18,018	-	-	17,823,726	-	17,823,726
e	Total Rehabilitation Services	15,924,493	9,946,597	1,825,046	31,571,221	809,034	3,275,118	665,528	(223)	-	3,850,731	-	-	-	309,554	500	91,276	-	43,237	-	-	68,212,115	-	68,212,115
503	Medical Services																							
a	Medication Services	-	-	-	436,395	18	4,465,096	-	-	-	44	-	-	-	-	12,641	506,764	-	175,900	-	-	5,596,858	-	5,596,858
b	Medical Management	3,793,709	941,006	342,348	10,518,681	518,832	4,700,904	346,519	(48)	-	5,552,692	-	-	-	125,235	685,086	206,121	-	28,615,564	-	-	28,615,564	-	28,615,564
c	Laboratory, Radiology & Medical Imaging	300,807	77,754	23,774	747,807	57,689	1,174,247	992	(386)	-	(69,664)	-	-	-	-	-	2,102	-	(5)	-	-	2,315,117	-	2,315,117
d	Electro-Convulsive Therapy	-	-	-	500,509	-	50,743	-	-	-	-	-	-	-	-	-	-	-	-	-	-	551,252	-	551,252
e	Total Medical Services	4,094,516	1,018,760	366,122	12,203,392	576,539	10,390,991	347,511	(434)	-	5,483,071	-	-	-	125,235	697,728	714,987	-	1,060,375	-	-	37,078,791	-	37,078,791
504	Support Services																							
a	Case Management	25,692,130	21,056,995	2,191,165	63,312,442	1,606,652	8,366,281	1,566,778	(432)	-	23,025,664	-	-	-	1,192,171	-	853,478	-	5,464,786	-	-	154,528,229	-	154,528,229
b	Personal Care Services	41,315	5,267	31,296	26,022,242	194,789	3,378,871	-	(1)	-	512,503	-	-	-	-	-	2,818,295	-	242,582	-	-	33,247,158	-	33,247,158
c	Family Support	3,784,448	1,457,872	10,241	152,256	9,799	144,808	-	-	-	100,038	-	-	-	100,038	204	-	-	866	-	-	6,208,751	-	6,208,751
d	Peer Support	92,769	39,129	5,478	5,650,689	118,017	653,393	5,220	(7)	-	1,600,395	-	-	-	2,347	-	123,521	-	59,976	-	-	8,350,927	-	8,350,927
e	Home Care Training to Home Care Client	899,255	2,838,767	27,754	593,089	36,105	20,300	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,415,270	-	4,415,270
f	Unskilled Respite Care	2,438,621	593,106	45,691	274,025	4,303	187,051	65,712	-	-	14,189	-	-	-	-	-	-	1	-	-	-	3,650,375	-	3,650,375
g	Supported Housing*	-	-	-	-	-	-	-	-	-	2,248,074	2,588,174	765,877	-	-	-	27,475	-	3,081,027	-	-	8,683,152	-	8,683,152
h	Flex Fund Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	354,988	-	-	1,015,816	-	1,015,816
i	Transportation	5,018,778	2,422,818	175,932	24,198,744	456,094	4,183,344	206,269	(7,771)	125,423	1,041,308	-	-	-	166,887	523,600	214,974	-	629,837	-	-	39,356,238	-	39,356,238
j	Total Support Services	37,967,516	28,413,953	2,946,593	120,203,487	2,009,097	16,814,844	2,009,088	(8,211)	125,423	28,511,075	2,588,174	765,877	-	2,062,043	721,421	4,110,473	-	9,834,063	-	-	259,455,916	-	259,455,916
505	Crisis Intervention Services																							
a	Crisis Intervention - Mobile	3,107,964	2,996,582	191,634	6,506,095	617,721	7,235,115	60,864	-	8,155,868	-	-	-	-	-	-	257,876	-	9,289,237	-	-	38,418,956	-	38,418,956
b	Crisis Intervention - Stabilization	738,822	121,458	27,633	11,237,947	297,991	14,426,511	15,642	-	7,851,207	-	-	-	231,265	-	-	4,145,524	-	9,335,980	-	-	48,429,991	-	48,429,991
c	Crisis Intervention - Telephone	1,438,343	-	81,986	1,460,948	75,395	1,862,818	21,764	-	1,475,086	-	-	-	-	-	-	-	-	1,679,439	-	-	8,095,579	-	8,095,579
d	Total Crisis Intervention Services	5,285,129	3,118,040	301,253	19,204,991	991,107	23,524,245	98,270	-	17,482,161	-	-	-	231,265	-	-	4,403,400	-	20,304,666	-	-	94,944,526	-	94,944,526
506	Inpatient Services																							
a	Hospital																							
1	Psychiatric (Provider Types 02 & 71)	11,108,541	2,840,841	730,624	53,358,839	1,343,054	12,006,038	44,395	(120,962)	-	-	-	-	-	-	-	-	-	10,598,460	-	-	91,909,830	-	91,909,830
2	Detoxification (Provider Types 02 & 71)	183,499	20,362	-	72,645	-	192,267	1,671	-	-	-	-	-	-	-	-	-	-	1,649	-	-	472,092	-	472,092
b	Sub acute Facility																							
1	Psychiatric (Provider Types B5 & B6)	-	-	-	3,824,424	104,655	785,004	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4,714,083	-	4,714,083
2	Detoxification (Provider Types B5 & B6)	-	-	-	98,889	-	763,704	-	-	-	-	-	-	-	-	-	626,094	-	-	-	-	1,489,688	-	1,489,688
c	Residential Treatment Center (RTC)																							
1	Psychiatric - Secure & Non-Secure Provider Types (78,B1,B2,B3)	3,803,639	5,675,851	382,085	784,086	-	89,779	28,563	-	-	-	-	-	-	-	-	-	-	20,384	-	-	10,584,387	-	10,584,387
2	Detoxification - Secure & Non-Secure (Provider Types (78,B1,B2,B3)	8,230	9,977	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	18,207	-	18,207
d	Inpatient Services, Professional	224,372	32,284	23,598	808,844	19,727	349,185	723	-	-	-	-	-	-	-	-	-	-	11,101	-	-	1,469,834	-	1,469,834
e	Total Inpatient Services	15,128,281	8,579,316	1,136,307	58,948,728	1,467,435	14,185,977	75,351	(120,962)	-	-	-												

**MAGELLAN
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:**

September 30, 2013

***DISCLOSE ON SCHEDULE A**

		TXIX CHILD	TXIX CMPD	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMM/SH	TXIX CHILD	TXIX ADULT	NTIXX/XXI CRISIS	SUPPORTED HOUSING FOR TXIX SMI	SB1616 HOUSING	NTIXX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL	
601	Administrative Expenses:	3,380,167	1,944,303	286,511	8,503,541	301,478	3,071,126	136,178	(3,235)	470,350	1,254,224	66,693	7,611	95,661	56,405	519,022	837	1,422,655	-	-	21,535,386	-	21,535,386	
602	Salaries	3,380,167	1,944,303	286,511	8,503,541	301,478	3,071,126	136,178	(3,235)	470,350	1,254,224	66,693	7,611	95,661	56,405	519,022	837	1,422,655	-	-	21,535,386	-	21,535,386	
603	Employee Benefits	1,091,615	627,907	92,528	2,746,194	97,361	1,911,611	43,978	(1,045)	151,898	405,048	21,538	7,060	30,893	18,216	167,617	270	459,442	-	-	6,954,791	-	6,954,791	
603	Professional & Outside Services	189,766	109,155	16,085	477,398	16,925	172,416	7,645	(182)	26,406	70,414	3,744	1,227	428	3,167	29,138	47	79,869	-	-	1,209,020	-	1,209,020	
604	Travel	40,390	23,327	3,423	161,594	3,681	62,627	1,658	(38)	7,619	14,638	671	1,211	67	6,203	81	16	257,264	-	-	257,264	-	257,264	
605	Occupancy	254,787	21,696	14,255	646,971	22,724	231,492	10,265	(244)	35,454	94,540	5,027	1,648	574	1,143	39,122	63	1,623,273	-	-	1,623,273	-	1,623,273	
606	Depreciation	145,856	83,898	12,363	660,931	13,009	132,621	5,676	(140)	20,296	54,109	2,878	943	328	2,434	22,396	36	929,265	-	-	929,265	-	929,265	
607	All Other Operating*	3,658,321	2,104,299	310,088	9,203,299	326,286	3,323,849	147,384	(3,501)	509,056	1,357,434	72,181	23,659	8,237	103,533	61,047	561,732	906	1,539,726	-	-	23,307,536	-	23,307,536
608	Subtotal ADHS Administrative Expenses	8,760,892	5,039,345	742,935	22,039,921	781,386	7,959,904	352,954	(8,384)	1,219,079	3,250,763	172,859	56,658	19,726	247,939	146,194	1,345,227	2,169	3,687,312	-	-	55,816,535	-	55,816,535
620	Interpretive Services	7,338,496	275,074	374,149	881,376	49,856	1,821,567	86,292	765	6,009	107,793	-	-	-	43,631	1,060	292,211	-	72,284	-	-	11,350,562	-	11,350,562
621	Non ADHS and/or Unrelated Admin. Expense*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
652	Subtotal Administrative Expense	16,099,388	5,314,419	1,116,742	22,921,297	831,242	9,781,471	439,246	(7,619)	1,225,088	3,358,556	172,859	56,658	19,726	291,570	147,254	1,637,438	2,169	3,759,596	-	-	67,167,097	-	67,167,097
701	Unrelated Business Expenses*	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
790	Income Tax Provisions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
a	ADHS Income Tax Provision	5,462,229	(1,700,882)	912,165	6,768,357	(437,544)	7,710,683	17,774	-	124,405	0	0	(0)	(392)	134,350	47,271	612,560	0	1,406,696	-	-	21,057,672	3,291,892	24,349,564
b	Non ADHS Income Tax Provision	-	-	20	-	-	-	-	-	-	-	-	-	-	-	-	-	20	-	-	-	-	-	
799	Subtotal Income Tax Provision	5,462,229	(1,700,882)	912,165	6,768,357	(437,544)	7,710,683	17,774	124,405	-	-	-	(392)	(392)	134,350	47,271	612,560	-	1,406,696	-	-	21,057,692	4,051,758	25,109,450
800	TOTAL EXPENSES	144,777,313	74,488,321	12,472,945	350,974,326	11,677,908	331,992,975	5,528,633	(6,494)	19,034,138	50,755,924	2,761,033	822,535	307,599	4,005,553	2,329,879	21,616,118	21,546	58,978,688	524,806	-	893,063,743	4,051,758	897,115,501
801	INC/(DEC) IN NET ASSETS/EQUITY	8,355,704	(2,601,879)	1,395,361	10,353,750	(669,320)	11,795,218	27,189	190,306	-	-	-	(599)	205,518	72,311	937,046	-	2,215,856	-	-	32,212,465	6,198,072	38,410,537	

***Disclose on Schedule A**

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BIOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL							
DISCLOSURE OF NTXIX/XXI OTHER and OTHER FEDERAL ADHS REVENUE																														
City of Phoenix - LARC													250,000											250,000		250,000				
LARC Improvement													57,000												57,000		57,000			
SPF-SPR Grant																			21,546						21,546		21,546			
Total NTXIX/XXI Other - OTHER FEDERAL Column													307,000						21,546						328,546		328,546			
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402																														
ADOH SMI Housing													57,000									471,372		471,372		471,372				
LARC Improvement																									57,000		57,000			
TXIX Utilization of Service	3,000,000																								3,000,000		3,000,000			
Recoupment of Funds for Self Disclosure													(7,422)									(637)				(8,059)		(8,059)		
Total Other Grants	-	-	-	3,000,000	-	-	-	-	-	-	-	-	57,000	-	-	-	-	-	-	471,372	-	3,520,314	-	3,520,314						
DISCLOSURE OF OTHER BEHAVIORAL HEALTH FUNDING SOURCES - NON ADHS REPORTED ON LINE 406																														
Itemization of Items Reported on Line 406																														
Total Other Behavioral Health Sources - Non ADHS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-							
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407																														
Itemization of Items Reported on Line 407																														
Total Unrelated Business Revenue	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-							
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g:																														
Rent Subsidy											2,248,074	1,173,023											1,396,397		4,817,493		4,817,493			
Management Fees												424,560											505,407		929,967		929,967			
Utility Payments												335,345											399,203		734,548		734,548			
Other - Repairs & Maintenance												480,138											571,569		1,051,707		1,051,707			
Other ADHS Approved Housing Expenses (Itemize Accordingly):																														
Financial Assistance Program												133,186													158,547		291,733		291,733	
Fee For Service												41,922													49,904		91,826		91,826	
SB1616 Housing													765,877															765,877		765,877
Total Supported Housing	-	-	-	-	-	-	-	-	-	2,248,074	2,588,174	765,877	-	-	-	-	-	-	3,081,027	-	-	8,683,152	-	8,683,152						
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511																														
PASRR Expenses																							53,100		53,100		53,100			
SPF-SPR Grant expenses																							19,377		19,377		19,377			
Total All Other Behavioral Health Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	19,377	-	53,100	-	72,477	-	72,477						
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520																														
ADOH SMI Housing																							471,506		471,506		471,506			
LARC Improvement																									57,000		57,000			
TXIX Utilization of Service	0																								3,000,000		3,000,000			
Total Service Expenses Non ADHS Sources	-	-	-	3,000,000	-	-	-	-	-	-	-	-	57,000	-	-	-	-	0	-	471,506	-	3,528,507	-	3,528,507						
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607																														
Telephone	74,847	43,053	6,344	188,293	6,676	68,004	3,015	(72)	10,415	27,772	1,477	484	169	2,118	1,249	11,493	19	31,502	-	-	476,856	-	476,856							
Training	4,782	2,751	405	12,030	426	4,345	193	(5)	665	1,774	94	31	11	135	80	734	1	2,013	-	-	30,465	-	30,465							
Supplies	22,640	13,023	1,919	56,957	2,019	20,570	912	(22)	3,150	8,401	447	146	51	641	378	3,476	6	9,529	-	-	144,244	-	144,244							
Repairs & Maint	25,329	14,569	2,147	63,721	2,259	23,013	1,020	(24)	3,525	9,398	500	164	57	717	423	3,889	6	10,661	-	-	161,374	-	161,374							
Postage	42,973	24,718	3,642	108,108	3,833	39,044	1,731	(41)	5,980	15,945	848	278	97	1,216	717	6,598	11	18,087	-	-	273,785	-	273,785							
Office Moving Expense	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0							
Promotional	84,592	48,658	7,170	212,809	7,545	76,858	3,408	(81)	11,771	31,388	1,669	547	190	2,394	1,412	12,989	21	35,603	-	-	538,942	-	538,942							
Licenses & Req Fees	10,087	5,802	855	25,376	900	9,165	406	(10)	1,404	3,743	199	65	23	285	168	1,549	2	4,245	-	-	64,264	-	64,264							
Translation Services	9,324	5,363	790	23,456	832	8,471	376	(9)	1,297	3,460	184	60	21	264	156	1,432	2	3,924	-	-	59,402	-	59,402							
Ins & Bank Charges	62,522	35,963	5,299	157,286	5,576	56,805	2,519	(60)	8,700	23,199	1,234	404	141	1,769	1,043	9,600	15	26,314	-	-	398,331	-	398,331							
Int. Exp/LOC Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-							
Premium/Discount Amort/Accretion	270,237	155,443	22,906	679,841	24,103	245,530	10,887	(259)	37,604	100,273	5,332	1,748	608	7,648	4,509	41,495	67	113,738	-	-	1,721,710	-	1,721,710							
Perf Sanction - Imposed	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0							
Perf Sanction Accrual	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0							
Other Exp (sum)	69,290	39,856	5,873	174,315	6,180	62,955	2,792	(66)	9,642	25,710	1,367	448	156	1,961	1,156	10,639	17	29,163	-	-	441,456	-	441,456							
SBU Allocation	300,387	172,785	25,461	755,688	26,792	272,923	12,102	(287)	41,799	111,460	5,927	1,943	676	8,501	5,013	46,124	74	126,428	-	-	1,913,794	-	1,913,794							
Corp Alloc (sum)	2,681,312	1,542,315	227,274	6,745,421	239,147	2,436,166	108,023	(2,566)	373,105	994,911	52,904	17,340	6,037	75,883	44,743	411,713	664	1,128,519	-	-	17,082,913	-	17,082,913							
Total All Other Operating	3,658,321	2,104,299	310,088	9,203,299	326,286	3,323,849	147,384	(3,501)	509,056	1,357,434	72,181	23,659	8,237	103,533	61,047	561,732	906	1,539,726	-	-	23,307,536	-	23,307,536							

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651 <small>Itemization of Items Reported on Line 651</small>																							
Total Non ADHS and/or Unrelated Adm Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE 701																							0
Total Unrelated Business Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

ADJUSTMENTS
(Disclose and describe any adjustments to previously submitted financials statement including those that affect the current financial statements)

NT Crisis - Revenue decreased to agree to final contract amount	
<u>Services Expense - decreases from August:</u>	
<u>Total expenses for the following programs decreased due to:</u>	
TXXI Adult - decreased due to IBNR quarterly true-up	
CMHS SED - Block expense decreased due to recoupments	
<u>Expenses within the following Programs decreased due to:</u>	
GMH/SA, TXXI Child & TXXI Adult due to IBNR quarterly true-up	
TXIX SMI & SAPT due to recoupments (crisis)	
SAPT Block Grant - Medication Expense of (1,226) is due to an over accrual for MAT RX expense.	
<u>NOTES:</u>	
EXPENSES LINE 501C	Expenses for TXIX Child, TXIX CMDP & DD Child, TXXI Child & TXIX GMHSA reported on this line were not for traditional healing or Auricular Acupuncture.
SAPT Children's Expenses SFYTD	\$ 1,307,490
SAPT MAT Expenses SFYTD	285,792

MAGELLAN

Comparison Between Actual NTXIX SMI Expenses to Plan

CONTRACT PERIOD TO DATE AS OF:

September 30, 2013

	As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) Under Plan
Enrollment (For ADHS Input Only)						
REVENUE						
Revenue Under ADHS Contract *	50,763,346		50,763,346			
Service Revenue **	47,404,791	100.0%	47,611,227	100.0%		
EXPENSES						
Service Expenses:						
502 Rehabilitation Services						
a Living Skills Training	1,140,737	2.4%	2,190,116	4.6%	1,049,380	92.0%
c Health Promotion	928,068	2.0%	1,523,559	3.2%	595,491	64.2%
d Supported Employment Services	1,969,622	4.2%	2,761,451	5.8%	791,829	40.2%
e Total Rehabilitation Services	4,038,427	8.5%	6,475,127	13.6%	2,436,700	60.3%
503 Medical Services						
a Medication Services	44		558,480		558,436	
b Medical Management	5,552,692		10,518,034		4,965,343	
c Laboratory,Radiology & Medical Imaging	(69,664)		1,098,343		1,168,007	
501b Assessment, Evaluation and Screening	2,808,908		1,098,343		(1,710,565)	
510 d Total Pharmacy Expense	6,207,307		5,342,789		(864,518)	
Total Medical Services	14,499,286	30.6%	18,615,990	39.1%	4,116,704	28.4%
504 Support Services						
a Case Management	23,025,664	48.6%	5,999,015	12.6%	(17,026,649)	-73.9%
b Personal Care Services	512,503	1.1%	1,380,726	2.9%	868,222	169.4%
c Family Support	68,943	0.1%	2,856,674	6.0%	2,787,731	4043.5%
d Peer Support	1,948,975	4.1%	6,284,682	13.2%	4,335,707	222.5%
f Unskilled Respite Care	14,189	0.0%	1,095,058	2.3%	1,080,869	7617.6%
g Supported Housing	2,248,074	4.7%	2,713,840	5.7%	465,766	20.7%
i Transportation	1,041,308	2.2%	2,190,116	4.6%	1,148,809	110.3%
j Total Support Services	28,859,655	60.9%	22,520,111	47.3%	(6,339,544)	-22.0%
525 Total Service Expenses	47,397,369	100.0%	47,611,227	100.0%	213,859	0.5%

*Revenue decreased by: 514,944 (Amount of Payable to ADHS)

**Revenue less Adminstration expenses and Interpreter Services

Admin % Per Plan 6.64%

Admin Standard 7.50%

*Enter Actual Admin % here if Actual Admin exceeds 7.5% 6.21%

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

NT SMI actuals are allocated based on proportionate encounters for FY13. There has been a lag for ramp up and re-engagement for NT SMI services. Efforts are being focused on eliminating barriers for referrals, outreach to NT SMI members by phone, marketing materials and benefit fairs. There are also regular meetings with providers and touch base meeting with DBHS and status reports. While funds were targeted to deliver a multitude of services based on the unique needs of individuals served, a substantial majority of peer and family member-delivered services are encountered as case management and day program services throughout our system of care. Please keep in mind that encounters received do not necessarily align with the resourced services since Magellan remains committed to offering capacity for lower encountered services such as family support (new in FY13), adult respite (new in FY13) and peer-run organizations which represent areas that were encountered for at rates far below the system average of other services. For each of the providers contracted to deliver these services, they have been offered the flexibility to encounter for additional allowable services as long as capacity is maintained for the targeted respite and family support services.

MAGELLAN**STATEMENT OF CASH FLOWS****CONTRACT PERIOD TO DATE AS OF:**

September 30, 2013

CASH FLOWS FROM OPERATING ACTIVITIES:

Changes in Net Assets	38,410,537
Adjustments to Reconcile Excess of Revenue Over/(Under) Expenses to	
Net Cash Provided (Used) by Operating Activities:	
Depreciation and Amortization	929,264
Non-cash provision for income taxes	-
Loss on Disposal of Assets	-
(Gain) loss on sale of investments	11,100

(Increases)/Decreases in Assets:

Accounts Receivable	(16,831,094)
Prepaid Assets	(38,819)
Other Assets	-

Increases/(Decreases) in Liabilities:

IBNR	3,452,351
RBUC	540,241
Accounts Payable to ADHS	569,317
Deferred Revenue	(635,258)
Accounts Payable and Other Accrued Liabilities	(36,083,403)
Due to Affiliates, Net	(97,841)
Other Liabilities	1,717,859

NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	(8,055,746)
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CASH FLOWS FROM INVESTING ACTIVITIES

Proceeds from Sale of Property & Equipment	1,307
Purchases of Property & Equipment	(94,895)
Maturity of Investments	60,608,241
Purchase of Investments	(45,561,164)

NET CASH PROVIDED (USED) BY INVESTING ACTIVITIES	14,953,489
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CASH FLOWS FROM FINANCING ACTIVITIES:

Contributions from Parent	-
Dividends Paid	(29,890,000)
Issuance of Common Stock	-
Payment of Other Debts (Describe on Schedule A)	-

NET CASH PROVIDED (USED) BY FINANCING ACTIVITIES	(29,890,000)
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NET INCREASE/(DECREASE) IN CASH	(22,992,257)
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BEGINNING CASH	94,591,029
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ENDING CASH BALANCE *	71,598,772
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***NOTE: ENDING CASH BALANCE MUST AGREE WITH TOTAL CASH BALANCE ON BALANCE SHEET**

MAGELLAN

STATEMENT OF CASH FLOWS

CONTRACT PERIOD TO DATE AS OF:

September 30, 2013

Schedule A Disclosure

Describe:

1. Sources and amounts of cash received for other grants.

2. Underlying transactions for acquisition of debt.
(Debtor, amount, purpose of loan, term, interest rate of debt acquired)

-

3. Underlying transactions for retirement of debt.
(Debtor, amount paid off.)

4. Cash Flows From Financing Activities - Payment of Other Debt

Dividends Paid

(29,890,000)

5. Supplemental data or non-cash investing and financing activities, gifts, etc.